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# 1 **Metal Oxide Nanoparticles for Safe Active and Intelligent**

## 2 **Food Packaging**

3

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### 12 **Highlights:**

- 13 • Easy to fabricate, safe and cost-effective nanomaterials for food smart packaging.
- 14 • Antimicrobial biomaterials for food packaging are developed from metal oxide  
15 nanoparticles.
- 16 • Oxygen and ethylene molecules from the headspace of food packaging are absorbed.
- 17 • The safety of packaging material is evaluated on human cells, intestinal barrier, and  
18 microbiota
- 19 • Packaging for indicating food quality are developed utilizing metal oxide nanoparticles.

20 ABSTRACT

21 *Background:* Food safety and food security remain the major concern of consumers and the  
22 food industry. Bacterial contamination continues to be a crucial food safety issue. Smart  
23 packaging incorporates both active and intelligent components. Intrinsic antibacterial activity,  
24 oxygen and ethylene scavenging (active) and the sensing (intelligent) properties of metal oxide  
25 nanoparticles are in research focus for application in smart food packaging, especially bio-  
26 nanocomposite films.

27 *Scope and approach:* Metal oxide nanoparticle properties are closely linked to their morphology  
28 resulting from the synthesis process. In this review, we cover current innovative synthesis  
29 methods for obtaining metal oxide nanoparticles and current incorporation techniques used to  
30 obtain smart (active and/or intelligent) packaging, focusing on bio-nanocomposites, commonly  
31 used metal oxides and future mixed metal or doped metal oxides. Taking into account safety,  
32 we focus on current legislation, and methods for risk assessment due to particle release from  
33 the packaging material and a summary of cytotoxic studies of metal oxide nanoparticles on  
34 human cells and the gut microbiota.

35 *Key findings and conclusions:* Antimicrobial effectiveness of metal oxide nanoparticles is  
36 highly dependent on morphology as a result of the synthesis method. Solution casting and  
37 electrospinning are innovative methods applied to synthesize metal oxide incorporated  
38 biopolymer films for active packaging with improved mechanical and barrier properties  
39 combined with active components (antimicrobial, ethylene scavenging). Metal oxides show  
40 sensitivity and selectivity to most gases produced during food spoilage. In selection of metal  
41 oxide for smart packaging, particle migration and cytotoxic activity are key issues requiring  
42 careful and detailed characterization.

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## 60 1. Introduction

61           The food industry is under constant and crucial pressure to provide appetizing and safe  
62 food products. To satisfy these consumer demands, the food industry regularly improves both  
63 the food quality and packaging technology. Food packaging is essential in maintaining the  
64 safety and quality of products from processing and manufacturing, through handling and  
65 storage until it reaches the consumers. Petroleum-based plastic materials (like polyethylene  
66 terephthalate, polypropylene, polystyrene) are usually used to envelop food in order to protect  
67 its content from contamination and spoilage and to facilitate its transport and storage. However,  
68 plastic materials cannot fully protect food from the environment and, thus, cannot completely  
69 ensure product quality and safety. In addition, plastic undergoes continuous fragmentation, and  
70 may create micro- and nano-plastics that have potential toxic impacts on human health. Plastic  
71 pollution has increased due to the COVID-19 pandemic (Silva, et al., 2020). To improve plastic  
72 inability to stop light, oxygen and other gases from penetrating and reaching the consumables

73 and causing their degradation, as well as to prolong shelf-life of food and protect human health,  
74 novel materials are employed to envelop food products.

75 Starting from the beginning of the twentieth century, three main approaches have been  
76 applied to improve food packaging. The first approach consists in improving plastic polymers  
77 by mixing them with other materials. Doping or formation of nanoparticle-polymer composites  
78 improves mechanical properties of the packaging material, which can enforce the temperature  
79 and humidity resistance properties or improve oxygen barriers (Khajavi, et al., 2020).  
80 Biopolymers, as ecologically sound “green” materials often suffer from degradation and  
81 mechanical issues so application of these materials in food packaging can be accomplished in  
82 the form of nanocomposites. The second approach aims to develop “active packaging” in which  
83 particles added to the packaging material interact directly with food and protect it from UV,  
84 oxygen, ethylene or microbiological contamination (Rai, et al., 2019; Vilela, et al., 2018).  
85 Active packaging systems can be classified as active scavenging systems (absorbers) that  
86 remove undesired elements from the product, such as moisture, carbon dioxide oxygen,  
87 ethylene and odour and active releasing systems (emitters) that release into the packaging in  
88 the form of antioxidants, carbon dioxide or antimicrobial compounds (Yildirim, et al., 2018).  
89 Finally, the third approach develops “intelligent packaging”, which allows real-time monitoring  
90 of food safety (Müller & Schmid, 2019; Rai, et al., 2019). For this, sensing elements are  
91 combined with the packaging material to transform the food envelope into a miniaturized device  
92 for tracking. Intelligent packaging may provide monitoring of food freshness and quality, its  
93 storage condition, and, in that way, improve safety and convenience, and help to extend food  
94 shelf-life. Thus, enhanced functionality of food packaging is obtained by smart packaging that  
95 includes both active and intelligent components, as shown in Fig. 1.

96 Nanomaterials and nanoparticles are used in the development of all three advanced  
97 packaging approaches. Adding nanomaterials including nano-metal oxides to different  
98 polymers to form nanocomposites can make packaging lighter, stronger and less permeable (Y.  
99 Huang, Mei, Chen, & Wang, 2018). Nanomaterials with an intrinsic antimicrobial activity  
100 incorporated in active and intelligent packaging contribute to extending the shelf-life of  
101 products by keeping food safe from harmful and spoilage bacteria, fungi and viruses, and by  
102 providing freshness during longer storage time. Metal oxide nanoparticles (NPs) have unique  
103 properties and morphology and a great potential for application in food industry NPs in  
104 nanocomposite packaging can perform oxygen and ethylene scavenging and UV- blocking as  
105 part of active packaging functions contributing to extending the product shelf life (Gaikwad,  
106 Singh, & Lee, 2018; Gaikwad, Singh, & Negi, 2020).

107 The objective of this review is to provide an overview of the methodologies and  
108 procedures carried out in earlier literature on the development of active and intelligent  
109 packaging utilizing metal oxide nanoparticles. As the physicochemical properties of  
110 nanoparticles and their stability in nanobiocomposites are essential for the development of  
111 packaging films we describe the state-of-the art techniques for nanoparticle synthesis,  
112 characterization and incorporation in polymers. Antibacterial properties of active packaging  
113 containing metal oxides and current available data on the antiviral aspect is presented.  
114 Antifungal and antiviral activities, also significant for food protection, are briefly mentioned.  
115 To point out that the cytotoxicity of nanoparticles is the main barrier for their applications in  
116 food packaging, we provide a condensed assessment of toxicity of metal oxide nanoparticles at  
117 the level of cells, mucus and microbiota. It is noteworthy that new regulations, consumer  
118 attitudes and acceptability, the societal involvement and impact, have been comprehensively  
119 described in some recent reviews (Garcia, Shin, & Kim, 2018; Omerović, et al., 2021). Finally,  
120 an overview of the current research covering the potential for utilizing metal oxide

121 nanoparticles in smart packaging for oxygen and ethylene scavenging, moisture control and in  
122 food safety sensors is also given.

## 123 **2. Legislation**

124 The active packaging technology is defined in the European regulations as “*new types*  
125 *of materials and articles designed to actively maintain or improve the condition of the food*”  
126 (1935/2004/EC) and as “*deliberately incorporate components that would release or absorb*  
127 *substances into or from the packaged food or the environment surrounding the food*”  
128 (450/2009/EC). The intelligent packaging technology is “*designed to monitor the condition of*  
129 *the food*” (1935/2004/EC). Both technologies are closely linked to the development and  
130 research in nanotechnology. Although the European Food Safety Authority’s (EFSA) estimates  
131 that the most common agri-food applications of nanomaterials are in active packaging (as  
132 nanofillers to endow composite films) and as additives, the approval procedures for particular  
133 nanoparticles are long and on a case-by-case basis. This arises mainly from the lack of validated  
134 risk assessment protocols for food packaging. In other countries, especially in North America  
135 and Asia Pacific, that dominate the field, the legislation bodies have provided a set of legal  
136 frames for food sector applications of nanomaterial based active and intelligent packaging. The  
137 commercialization of active and intelligent packaging in Europe is far behind markets in Japan,  
138 USA and Australia, where these products are treated within conventional legislation for food  
139 contact materials. The increasing demand of the food industry and the rise in acceptance among  
140 consumers for packaging solutions based on emerging nanotechnologies is reflected by the  
141 predicted revenue of about \$15 billion in 2020.

142 The ongoing global spread of a pandemic caused by SARS-CoV-2 has enhanced  
143 development of active packaging that aims to prevent the transmission of the virus in order to  
144 protect consumers. For this, packaging film is covered with an external active coating layer

145 based on nanoparticles or nanoparticles embedded in a polymer matrix (Imani, et al., 2020;  
146 Mizielińska, Nawrotek, Stachurska, Ordon, & Bartkowiak, 2021).

### 147 **3. Synthesis and antimicrobial properties of metal oxide NPs**

148 Incorporation of metal oxide NPs in food packaging leads to improved mechanical,  
149 thermal and barrier properties combined with excellent antimicrobial activity. The synthesis  
150 method greatly influences properties of NPs including their antimicrobial and cytotoxic effects  
151 (Y. Huang, et al., 2018; Stankic, Suman, Haque, & Vidic, 2016). NPs due to their small size  
152 have a larger surface area per mass, thus a larger number of active surface states available for  
153 reaction with foodborne pathogens. These interactions are greatly affected by the size, shape  
154 and crystal structure of the NPs. Zinc oxide (ZnO) and titanium dioxide (TiO<sub>2</sub>) are metal oxides  
155 most commonly used as antimicrobial agents especially in active food packaging, but other  
156 metal oxides have shown increased potential as antibacterial agents too.

157

#### 158 *3.1. ZnO nanoparticles*

159 ZnO NPs display a large surface to volume ratio, highly crystalline structure, improved  
160 mechanical properties, high thermal conductivity, and high optical absorption in the UV region  
161 beneficial for interactions with bacteria. ZnO is generally recognized as a safe (GRAS) material  
162 by the FDA that can be applied in the field of food and drug industry, particularly as an  
163 antibacterial and antifungal agent. A broad spectrum of bacteria are sensitive to ZnO NPs (da  
164 Silva, et al., 2020; Tam, et al., 2008; Vidic, et al., 2013; Zanet, et al., 2019).

165 Various methods have been used to synthesize ZnO NPs by controlling synthesis  
166 parameters resulting in different ZnO particle morphologies (Fig. 2). Some examples include  
167 the sol-gel method used to synthesize ZnO and Ag doped ZnO nanoparticles (Karunakaran,  
168 Rajeswari, & Gomathisankar, 2011), ZnO nanorods focusing on the influence of calcination  
169 temperature on structure, morphology and antimicrobial activity (Ismail, Menazea, Kabary, El-



170 Sherbiny, & Samy, 2019), co-precipitation used to obtain a flower-like morphology with high  
171 antibacterial activity against *Enterococcus faecalis* and *Micrococcus luteus* in the presence of  
172 visible light irradiation (Quek, Lam, Sin, & Mohamed, 2018), the ultrasonic method used to  
173 synthesize ZnO NPs and investigate antibacterial activity and effect of particle size of ZnO  
174 against *Escherichia coli* and *Staphylococcus aureus* (Applerot, et al., 2009), and the chemical  
175 vapour based method used to synthesize ZnO, MgO and mixed ZnO-MgO NPs and investigate  
176 their antibacterial efficiency to *E. coli* and *Bacillus subtilis* (Vidic, et al., 2013). Cluster-like  
177 ZnO NPs were synthesized by the hydrothermal method and grown on PDA-PET substrate.  
178 Growth of *Gluconobacter cerinus* was inhibited by destroying the membrane of bacterial cells,  
179 while the UV protection capacity increased up to 500 fold (Cheng, et al., 2019). This method  
180 was also used to prepare ZnO nanorods. Antibacterial activity against *E. coli* and *Bacillus*  
181 *atrophaeus* on different substrates was investigated (Tam, et al., 2008). The hydrothermal  
182 method using different stabilizing agents - polyvinyl pyrrolidone (PVP), polyvinyl alcohol  
183 (PVA) and poly ( $\alpha,\gamma$ , l-glutamic acid) (PGA) was used to synthesize ZnO NPs with different  
184 shape and morphology (Stanković, Dimitrijević, & Uskoković, 2013). Hexagonal prismatic  
185 rods (PVP), spherical (PVA) and ellipsoid (PGA) shaped particles with different sizes were  
186 obtained. The highest antibacterial activity against *E. coli* and *S. aureus* was achieved  
187 nanospherical ZnO particles with an average diameter around 30 nm and the largest specific  
188 surface area –  $25.70 \text{ m}^2\text{g}^{-1}$ . Different ZnO NP morphologies were also obtained using the  
189 solvothermal method. Antibacterial activity against *E. coli* and *S. aureus* was tested showing  
190 that flower-like ZnO NPs had higher efficiency than rod and sphere-like shaped NPs (Talebian,  
191 Amininezhad, & Doudi, 2013). ZnO has also shown exceptional antifungal properties (Q. Sun,  
192 Li, & Le, 2018).

193

194 *3.2. TiO<sub>2</sub> nanoparticles*

195

196 TiO<sub>2</sub> is a well-known low cost metal oxide with high chemical stability widely used in  
197 photocatalysis. As one of the most versatile compounds, TiO<sub>2</sub> is used in extraordinarily diverse  
198 food products and technologies. However, in 2016 the EFSA highlighted the need for more  
199 research on TiO<sub>2</sub> safety. Since this year, the EFSA no longer considers TiO<sub>2</sub> safe when used as  
200 a food additive because they cannot rule out the genotoxicity concerns of TiO<sub>2</sub>, nor the  
201 possibility that TiO<sub>2</sub> after ingestion can accumulate in the body. However, TiO<sub>2</sub> NPs are not  
202 banned from applications in the food industry. Sol-gel processing is the most common synthesis  
203 method for TiO<sub>2</sub>. TiO<sub>2</sub> colloids obtained using the sol-gel method combined with pectin to form  
204 aerogels, have shown potential for application in food packaging (Nešić, et al., 2018). Recent  
205 synthesis methods include biosynthesis (a “green” synthesis method), where TiO<sub>2</sub> NPs are  
206 synthesized using plant extracts, showing good antibacterial activity against (Subhapiya &  
207 Gomathipriya, 2018).

208 Antimicrobial performance of TiO<sub>2</sub> was first investigated by Matsunaga et al.,  
209 (Matsunaga, Tomoda, Nakajima, & Wake, 1985). Growth of *Lactobacillus acidophilus*,  
210 *Saccharomyces cerevisiae* and *E. coli* was completely inhibited when incubated with TiO<sub>2</sub>/Pt  
211 particles during photoelectrochemical oxidation. However, TiO<sub>2</sub> is thermodynamically  
212 unstable, tends to agglomerate and is difficult to remove from a treated solution. Since TiO<sub>2</sub>  
213 photocatalyst is only active under UV irradiation at levels dangerous for human cells, irradiation  
214 in the visible regime could overcome this problem. One way is doping TiO<sub>2</sub> or forming  
215 nanocomposites. Thus, antibacterial activity of visible-light-irradiated nitrogen- and carbon-  
216 doped TiO<sub>2</sub> against several microbials such as *Shigella flexneri*, *Listeria monocytogenes*, *Vibrio*  
217 *parahaemolyticus*, *Streptococcus pyogenes*, *S. aureus*, and *Acinetobacter baumannii*, was  
218 investigated, with nitrogen doping showing better bactericidal activity against microbials  
219 (Wong, et al., 2006). Nitrogen-doped mesoporous titania thin films prepared by the sol-gel  
220 method using Pluronic P123 as the template resulted in a reduced band gap and improved

221 visible light induced antibacterial activity against *Bacillus amyloliquifaciens* (Soni, Dave,  
222 Henderson, & Gibaud, 2013).

### 223 *3.3. Other metal oxide nanoparticles*

224

225 Other metal oxides have shown increased potential for application as antimicrobial  
226 agents in food packaging, such as Cu<sub>2</sub>O NPs, MgO NPs, Fe<sub>3</sub>O<sub>4</sub> NPs, FeMnO<sub>3</sub> and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> NPs  
227 alone or in the form of nanocomposites. Some recent examples are shown in Table 1.  
228 Nanocomposites composed of metal doped metal oxides and mixed metal oxides, such as for  
229 example Ag/ZnO/CuO as small amounts have achieved high antimicrobial activity (Dehghani,  
230 Peighambaroust, Peighambaroust, Hosseini, & Regenstein, 2019) or CuO/montmorillonite  
231 nanocomposite incorporated in chitosan film (Nouri, Yaraki, Ghorbanpour, Agarwal, & Gupta,  
232 2018).

233 Table 2 presents some successful examples of active packaging systems improved with  
234 various metal oxide NPs.

## 235 **4. Nanoparticle-biopolymer composites for active packaging**

236

237 Classical food protecting films are made from polymers such as polyamide (PA),  
238 polystyrene (PS), polypropylene (PP), polyethylene (PE), polyvinylchloride (PVC), and  
239 polyethylene terephthalate (PET) as raw materials. These plastics have been widely used because  
240 of their high accessibility, low cost and good mechanical properties (Omerović, et al., 2021).  
241 However, they cannot be recycled and are not completely biodegradable. Efforts have been  
242 made to replace petroleum plastics with bio-based degradable materials including  
243 polysaccharides (chitosan, zein, alginate, starch, carboxymethyl cellulose), poly( $\alpha$ -  
244 hydroxyester)s, polyhydroxybutyrates (PHB), poly(glycolic acid) (PGA), polylactic acid  
245 (PLA), their co-polymers poly(lactide-co-glycolide) (PLGA), poly caprolactone (PCL), and

246 polyvinyl alcohol (PVA). However, biopolymers have drawbacks as they provide poor  
247 mechanical, thermal, and barrier properties.

248 Conjugation of metal oxide NPs with biopolymers in the form of nanoparticle-  
249 biopolymer composites improves the mechanical and barrier properties of biopolymers and  
250 provides antimicrobial properties (Fig. 3). One form is coating the packaging film with  
251 antimicrobial NPs, such as TiO<sub>2</sub> or ZnO coated PE films (Othman, Abd Salam, Zainal, Kadir  
252 Basha, & Talib, 2014; Tankhiwale & Bajpai, 2012). PE films coated with a chitosan-ZnO  
253 nanocomposite achieved a high antimicrobial activity to *Salmonella enterica*, *E. coli* and *S.*  
254 *aureus* (Al-Naamani, Dobretsov, & Dutta, 2016). Metal oxide NPs can also be incorporated in  
255 the polymer film. Enhanced mechanical and oxygen barrier properties were achieved with ZnO  
256 incorporated in PP films that depended on the concentration and shape of ZnO NPs (Lepot, et  
257 al., 2011). Low density polyethylene (LDPE) films containing ZnO NPs showed high  
258 antibacterial activity to *B. subtilis* (Esmailzadeh, Sangpour, Shahraz, Hejazi, & Khaksar, 2016).

259 **Some examples of active packaging with quantitatively improved mechanical and**  
260 **barrier properties are given in Table 3.**

261

#### 262 *4.1. Incorporation of metal oxide NPs in packaging films*

263

264 Although the number of biodegradable materials for food packaging continuously  
265 increases, there is still a lack of eco-friendly packaging biocomposite with good mechanical,  
266 thermal and physical properties that can be used industrially. Methods commonly used to  
267 incorporate metal oxide NPs into biocomposites include solvent casting and electrospinning.

268 The solvent (solution) casting method is a well-known technique for the preparation of  
269 polymer nanocomposites. Metal oxides as nanofillers and the polymer are firstly solved in a  
270 solvent (Fig. 4). The metal oxide and polymer solution is mixed to achieve homogeneous  
271 dispersion. This is followed by solvent evaporation and casting resulting in the formation of a

272 metal oxide polymer nanocomposite. TiO<sub>2</sub> NPs incorporated in a gellan gum (biopolymer) film  
273 showed good antibacterial activity against *S. aureus*, *Streptococcus*, *E. coli* and *Pseudomonas*  
274 *aeruginosa* (Razali, Ismail, & Amin, 2019). Zinc oxide NPs incorporated using this technique  
275 into a chitosan/carboxymethyl cellulose blend (Youssef, El-Sayed, El-Sayed, Salama, &  
276 Dufresne, 2016) displayed improved mechanical and thermal properties and good antibacterial  
277 activity against *S. aureus*, *P. aeruginosa*, *E. coli* and *Candida albicans*, thus increasing the shelf  
278 life of the tested soft white cheese. Mixed Zn-MgO NPs incorporated in alginate film prevented  
279 proliferation of *L. monocytogenes* in cold smoked salmon meat (Vizzini, Beltrame, Zanet,  
280 Vidic, & Manzano, 2020). Bionanocomposite films using konjac glucomannan/chitosan (KGC)  
281 with nano-ZnO and mulberry anthocyanin extract (MAE) by a modified casting method (J. Sun,  
282 et al., 2020a) exhibited beside improved mechanical and thermal properties of films, good UV–  
283 Vis light barrier properties and relatively high pH-sensitive properties, strong antioxidant  
284 activity and good antibacterial activity against *E. coli* and *S. aureus*. ZnO NPs have also been  
285 utilized in soy protein isolate films together with cinnamaldehyde showing improved oxygen  
286 barrier and antifungal properties (Wu, et al., 2019). ZnO-SiO<sub>2</sub> infused in PVA/chitosan films  
287 exhibited exceptional antimicrobial properties and extending the shelf-life of bread (Al-Tayyar,  
288 Youssef, & Al-Hindi, 2020)

289           Compared to other techniques used for the preparation of polymer matrices for food  
290 packaging, electrospinning is a versatile technique for fabrication of nanofibers with different  
291 morphologies and structures improving mechanical and thermal but also barrier properties of  
292 significance for food packaging. In this process (Fig. 4) a mixture of metal oxide and polymer  
293 solution is first placed into a syringe (plastic or glass) lying horizontally or vertically on a  
294 pressure and solution-flow rate controlled pump. The solution is pumped through a syringe, to  
295 a metallic needle connected to the electric power supply and a droplet is formed. The  
296 electrospinning process starts at a critical high voltage (10-25 kV) when the formed droplet

297 changes shape to a Taylor cone and ejects an electrically charged jet. The jet within the electric  
298 field is directed toward the collector with opposite charge, leading to solvent evaporation and  
299 fibre formation. Although, more complex than the solvent casting method, electrospinning is a  
300 well-adapted method for industrial scale applications.

301 Different metal oxides have been incorporated into biodegradable polymer matrices,  
302 though most often ZnO or TiO<sub>2</sub>. ZnO dispersed in cellulose acetate (CA) fibrous membrane  
303 was prepared by the electrospinning process and showed improved water repellent properties  
304 compared to pure CA membrane and a strong antibacterial activity against *S. aureus*, *E.*  
305 *coli* and *Citrobacter* (Anitha, Brabu, Thiruvadigal, Gopalakrishnan, & Natarajan, 2012).  
306 Nanoparticle agglomeration was suppressed and the contact area between fibres and microbes  
307 was increased. ZnO NPs incorporated into ethylcellulose/gelatin nanofibers obtained by  
308 electrospinning also showed excellent surface hydrophobicity, water stability and antimicrobial  
309 activity against *S. aureus* and *E.coli* (Liu, et al., 2018). Hybrid electrospun nanofibers  
310 composed of ZnO NPs and rosemary essential oil incorporated zein/ $\kappa$ -carrageenan showed  
311 good biocompatibility, and high antibacterial and antioxidant activity (Amjadi, Almasi,  
312 Ghorbani, & Ramazani, 2020b). ZnO/GO nanocomposites incorporated into gelatin fibres by  
313 a side-by-side electrospinning technique showed high antibacterial activity and complete  
314 degradation within 7 days (H. Li, et al., 2020). High surface area electrospun zein-TiO<sub>2</sub>  
315 nanofibers improved the storage life of cherry tomatoes by absorbing ethylene (Böhmer-Maas,  
316 Fonseca, Otero, da Rosa Zavareze, & Zambiasi, 2020) Electrospun zein/sodium alginate  
317 nanofibers loaded with TiO<sub>2</sub> NPs and betanin showed good antioxidant and antibacterial  
318 activity against *E. coli* and *S. aureus* (Amjadi, Almasi, Ghorbani, & Ramazani, 2020a).

## 319 **5. Nanoparticle migration from nanocomposites and food stimulants**

320           The antibacterial efficiency of NPs imbedded into a packaging film is usually inferior  
321 of that used for film production. Cierech et al., have shown that the concentration of released  
322 ZnO NPs from a nanocomposite was several times lower than the concentration of the  
323 nanoparticle in the film (Cierech, et al., 2019). This parameter has to be evaluated for packaging  
324 films. Migration of nanoparticles into enveloped food is a diffusion process when low molecular  
325 mass particles initially incorporated in the package are released into the contained product or  
326 into the space around. The release is usually experimentally measured using food stimulants  
327 instead of particular food matrices. In 1985, the EC promulgated a list of food simulants that  
328 can be used to test migration of constituents of plastic materials and particles intended to come  
329 into contact with foodstuffs (EC, 1985). Among food simulants 95% (v/v) aqueous ethanol and  
330 3% (w/v) aqueous acetic acid are frequently used. To estimate release, packaging films are cut  
331 into pieces, weighed and immersed in a simulant solution. The solution is kept at a given  
332 temperature (for instance, room or refrigerated temperatures) and the amount of released NPs  
333 is measured regularly during the defined period of time. Such studies enable correlation of the  
334 migration kinetics of NPs or their ions from the film and their antibacterial, oxygen and ethylene  
335 scavenging and moisture absorption activities.

336           The migration of metal oxide NPs to food simulants takes several steps. For instance, in  
337 the case of ZnO, the first step was shown to be  $Zn^{2+}$  dissociation from ZnO and diffusion  
338 through the film (Espitia, et al., 2012; Petchwattana, Covavisaruch, Wibooranawong, &  
339 Naknaen, 2016).  $Zn^{2+}$  ions then leave the film surface and enter into the food simulant. This  
340 process of mass transferring from the film surface to the food continues until the  
341 thermodynamic equilibrium is reached. Practical application of active packaging depends  
342 strongly on the possibility to achieve the release of active compounds in a controlled manner.  
343 Controlled release can be obtained through the design of nanoparticle-biopolymer composites,

344 method of NPs incorporation, choice of NPs shape, size, polarity, and weight, utilization of two  
345 or more active compounds in the same packaging film or addition of cross-linking agents into  
346 the film (Appendini & Hotchkiss, 2002). The main challenge in designing the nanobiopolymer  
347 system is slowing the migration rate of active compounds to obtain prolonged activity of the  
348 packaging film. Techniques utilized for the design of controlled release in active food  
349 packaging have been review recently (Almasi, Jahanbakhsh Oskouie, & Saleh, 2020).

## 350 **6. Oxygen and ethylene scavenging and moisture absorption in active packaging**

351

352 In many cases food deterioration is caused by oxygen, ethylene or excess of moisture.  
353 Active packaging systems incorporating metal oxide nanoparticles offer an advantage of  
354 actively contributing to reducing food waste, by scavenging oxygen and ethylene and/or by  
355 moisture absorption.

356 The presence of oxygen in packaging has a detrimental influence on shelf-life and  
357 quality of packaged food, as it leads to oxidation of the product and proliferation of bacteria,  
358 moulds and insects (Yildirim, et al., 2018). Iron based scavengers are most common where the  
359 oxygen scavenging mechanism is triggered by moisture resulting in irreversible oxidation of  
360 iron into a stable ferric oxide trihydrate complex (Gaikwad, et al., 2018). Sachets have been  
361 proved effective, but the future lies in incorporation of the oxygen scavenging component into  
362 packaging films, such as coated LDPE/PET films modified with  $\text{FeO}(\text{OH})\cdot x\text{H}_2\text{O}$ ,  $\text{Fe}_2\text{O}_3$  and  
363 ascorbic acid (Wołosiak- Hnat, et al., 2019) or moisture-activated nanostructures with a  
364 Zn/ZnO core-shell structure (Gomes, Ferreira, & Carvalho, 2017) or a nanocomposite based  
365 on ethylene acetate containing ZnO/Fe+montmorillonite nanoparticles (Eskandarabadi, et al.,  
366 2019). Another way for oxygen scavenging is UV light activation, with research focusing on  
367  $\text{TiO}_2$  bionanocomposite films (Fathi, Almasi, & Pirouzifard, 2019).

368 Ethylene ( $\text{C}_2\text{H}_4$ ) is a plant growth regulator that influences/accelerates ripening and  
369 senescence (Gaikwad, et al., 2020; Wei, Seidi, Zhang, Jin, & Xiao, 2020; Yildirim, et al., 2018).



370 In packed food ethylene accelerates chlorophyll degradation rates especially in leafy products  
371 and causes excessive softening of fruit leading to shortening of product shelf life (Yildirim, et  
372 al., 2018). In active packaging scavengers with catalytic roles are incorporated in  
373 bionanocomposite films (Wei, et al., 2020). When exposed to UV or visible light the  
374 photocatalytic component in the active packaging degrades ethylene to H<sub>2</sub>O and CO<sub>2</sub>.  
375 Application of metal oxides, as photocatalytic ethylene scavengers in bionanocomposite films  
376 has included TiO<sub>2</sub> with chitosan (Kaewklin, Siripatrawan, Suwanagul, & Lee, 2018) and TiO<sub>2</sub>-  
377 zein nanofibers (Böhmer-Maas, et al., 2020) both used to preserve and prolong the shelf-life of  
378 tomatoes. Nanocomposites with TiO<sub>2</sub> such as Bi<sub>2</sub>WO<sub>6</sub>-TiO<sub>2</sub> incorporated into starch films can  
379 perform catalytic degradation of ethylene in the visible light region (Wang, Wang, Ye, & Song,  
380 2019). A degradation rate of 12.47% achieved for a film containing 4 wt.% BT. Another  
381 approach is to focus on other metal oxides with photocatalytic properties in the visible light  
382 region. Graphene oxide (GO) added to Bi<sub>2</sub>WO<sub>6</sub> (GBW) reduced the band gap of Bi<sub>2</sub>WO<sub>6</sub> and  
383 was combined with starch in a nanocomposite film (J. Xie, Huang, Wang, Ye, & Song, 2020).  
384 The highest reaction rate constant ( $9.91 \times 10^{-4}$ ) was achieved with 0.5% GO addition.  
385 Nanocomposites of monoclinic WO<sub>3</sub> (band gap between 2.5 and 2.8 eV) enhanced with Pt  
386 loaded on zeolite (ZSM-5) have shown good potential for ethylene removal (Kim, Jeong, &  
387 Kim, 2019). The catalytic mechanism of these granules on ethylene was adsorption, migration  
388 and decomposition with hydroxyl radicals due to WO<sub>3</sub>-Pt migrating into the micropores of the  
389 ZSM-5 matrix.

390 Excess moisture is not good in high water activity food such as meat and poultry  
391 (Gaikwad, Singh, & Aji, 2019). Physical absorption is the working mechanism of moisture  
392 absorbers that are mostly applied in the form of sachets and pads. Calcium oxide is the only  
393 metal oxide used for these applications (Gaikwad, et al., 2019). Metal oxide NPs in active  
394 packaging can prevent moisture or other gases entering the packed food acting as a packaging

395 barrier against water and increasing the film tensile strength (Khajavi, et al., 2020). Addition of  
396 Mg doped ZnO quantum dots to zein films achieved a better barrier with a more cohesive  
397 polymer network and reduced intermolecular space between chains (Schmitz, de Albuquerque,  
398 Alberton, Riegel-Vidotti, & Zimmermann, 2020).

399

## 400 **7. Antimicrobial mechanisms of metal oxide nanoparticles**

401 Prior to their integration into a packaging film, nanoscaled engineering materials and  
402 particles are tested for their ability to inhibit proliferation of microorganisms in pure cultures.  
403 The methods used to estimate antimicrobial efficiency include disk diffusion, broth dilution,  
404 agar dilution, and the microtiter plate-based method (Auger, et al., 2019; Auger, et al., 2018;  
405 Stankic, et al., 2016; Vasiljevic, et al., 2020; Vidic, et al., 2013). The broth dilution method is  
406 most commonly used as it enables determination of the minimum inhibitory concentration  
407 (MIC) through culture turbidity and the minimum bactericidal concentration (MBC) through  
408 plating of serial dilutions and viable colony counts. The microtiter plate-based method  
409 performed on a 96-well plate is a modification of the broth dilution method. Multiple tests are  
410 easily performed due to miniaturization. The agar diffusion method has been standardized as  
411 an official method for detecting bacteriostatic activity in an indirect way. Monitoring of the  
412 optical density at the wavelength of 600 nm of the bacterial culture in the presence and absence  
413 of NPs enables determination of growth curves and estimation of the growth inhibition. Other  
414 methods including modified standard procedures methods are also used such as the  
415 conductometric assay, SEM, urease inhibition assay, flow cytometry viability assay  
416 (Sirelkhatim, et al., 2015). Finally, molecular methods like those based on polymer chain  
417 reaction (PCR) and enzyme-linked immunosorbent assay (ELISA) can be used to determine the  
418 antibacterial effect of NPs (Manzano, Viezzi, Mazerat, Marks, & Vidic, 2018; Vidic, Manzano,  
419 Chang, & Jaffrezic-Renault, 2017; Vidic, et al., 2019; Vizzini, et al., 2020).

420 Application of nanomaterials showing good antibacterial efficiency *in vitro* in food  
421 packaging needs additional validation because the food structure and composition may  
422 influence NP antibacterial activity. Although inorganic NPs are less sensitive to temperature  
423 and pH variations than organic bactericidal compounds, the molecules and ions in the food  
424 matrices and the food microbial flora may inhibit their activity. The exact mechanism how  
425 metal oxide NPs prevent bacterial proliferation in foods is still under investigation. However,  
426 several mechanisms have been suggested including the generation of reactive oxidative species  
427 (ROS), with or without light radiation, release of antimicrobial metal ions, and mechanical  
428 damaging upon NPs binding to microorganisms (Stankic, et al., 2016). In addition, small NPs  
429 (< 10 nm diameter) penetrate bacterial cells, and subsequently may release toxic ions or  
430 generate ROS intracellularly. Fig. 2 illustrates some of the described antimicrobial mechanisms.  
431 Some authors described that multiple mechanisms took place. ZnO NPs were shown to directly  
432 interact with *Campylobacter jejuni* cells, destabilize the membrane and penetrate the bacterial  
433 cell where they induced oxidative stress (Y. Xie, He, Irwin, Jin, & Shi, 2011).

434 ZnO and TiO<sub>2</sub> NPs have been shown to produce a large quantity of ROS upon UV  
435 radiation. For instance, one hour illumination of TiO<sub>2</sub> NPs completely irradiated *E. coli* due to  
436 the formation of H<sub>2</sub>O<sub>2</sub>. During photocatalysis, electron-hole pairs are formed on TiO<sub>2</sub> after  
437 nanoparticle absorbed energy larger than their energy band gap. Holes react with water  
438 molecules on the surface of TiO<sub>2</sub> and generate surface active oxygen species, such as hydroxyl  
439 radicals ( $\cdot\text{OH}$ ), superoxide radicals ( $\text{O}_2^{\cdot-}$ ) or hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>). These active species  
440 react with a microbial, destroy its structure and at the end kill it (Stankic, et al., 2016). Similarly,  
441 nano-ZnO upon radiation forms ROS due to positively charged holes and defects at the surface  
442 that react with surrounding water molecules. The holes separate H<sub>2</sub>O<sub>2</sub> in OH<sup>-</sup> and H<sup>+</sup> and form  
443 O<sub>2</sub><sup>-</sup> from dissolved oxygen, which in turn can react with H<sup>+</sup> and form a hydroperoxyl radical  
444 (HO<sub>2</sub><sup>\*</sup>). It produces hydrogen peroxide anions, which subsequently react with H<sup>+</sup> and produce

445 H<sub>2</sub>O<sub>2</sub>. All mentioned ROS can damage and eradicate bacterial cells. A higher concentration and  
446 smaller size of NPs provide higher production of ROS and, thus, increased antibacterial  
447 efficiency.

448 A moderate release of metal ions from CuO, FeMnO<sub>3</sub>, ZnO, or TiO<sub>2</sub> NPs was shown to  
449 be tolerated by a variety of microorganisms (Auger, et al., 2019; Stankic, et al., 2016;  
450 Vasiljevic, et al., 2020). Bacterial cells can finely tune import and efflux of metal ions,  
451 maintaining metal homeostasis (Randazzo, et al., 2020). However, tuning is possible to some  
452 extent and high concentrations of metal ions released from NPs cause bacterial death. The  
453 tolerance of various microorganisms to particular NPs can be explained by their capacity for  
454 metal ion homeostasis. The solubility of metal and metal oxide particles, and the release of ions  
455 into solution depend on particle concentration, time and medium (Vasiljevic, et al., 2020; Vidic,  
456 et al., 2014).

457 Other modes of action of metal and metal oxide particles on bacterial cells have been  
458 proposed because transcriptomic and proteomic analyses have indicated that nanoparticles  
459 inhibit enzymes, inactivate proteins and perturb the bacterial metabolism and bioenergetics.  
460 Moreover, metal oxide NPs modify the expression of proteins involved in bacterial information  
461 processing, protection from oxidative stress, cell envelope dynamics and cell division (Auger,  
462 et al., 2019; Auger, et al., 2018; Zanet, et al., 2019).

463 Finally the activity of incorporated NPs in packaging films is determined using a  
464 standard ASTM E2180-01 method designed for evaluation of antimicrobial agents in polymeric  
465 materials. The method can indicate the antimicrobial activity of polymer films containing NPs  
466 in a plastic matrix or in a coating layer by quantifying differences in antimicrobial activity  
467 between untreated plastics or polymers and those with bound or incorporated antimicrobial  
468 agents. It can be also applied to compare the numbers of pathogen survivors on NP-treated and

469 control hydrophobic surfaces. The official ISO method 22196:2011 is used for measurements  
470 of antibacterial activity on plastics and other non-porous surfaces. Such measurements are  
471 needed because active NPs in the polymer matrix are only those that migrate from film to  
472 products or those on the film surface that are in contact with the food product, as explained  
473 above.

## 474 **8. Antiviral activity of metal oxide nanoparticles**

475 Transmission of viruses via contaminated surfaces is one of the important routes for  
476 their spreading. The antiviral activity of some metal oxide NPs has motivated research into the  
477 development of consumer protective packaging. For instance, CuO, ZnO, TiO<sub>2</sub> and La<sub>x</sub>MnO<sub>3</sub>  
478 have shown a virucidal activity towards enveloped viruses, such as Influenza A virus, yellow  
479 fever virus, respiratory virus, and non-enveloped viruses, such as rhinovirus-2 (Imani, et al.,  
480 2020). Since surfaces coated with NPs showed higher virucidal effectiveness against enveloped  
481 viruses than non-enveloped it was suggested that the main mechanism involved ROS  
482 generation. ROS efficiently damaged the outer lipid envelope but has a lesser effect on protein  
483 capsid (Imani, et al., 2020).

484 Another proposed mechanism is that metal oxide NPs prevent virus entry into the human  
485 cells (El-Megharbel, Alsawat, Al-Salmi, & Hamza, 2021). Recently, ZnO NPs were shown to  
486 target the ACE2 receptor of SARS-CoV-2 which is a key protein enabling virus entry into host  
487 cells (Hamdi, et al., 2021).

## 488 **9. Toxicity of metal oxide nanoparticles**

489 Humans may be exposed to nanoparticle dissolute from food packaging films either  
490 directly through food or indirectly by ingestion of inhaled particles. It is, thus, very important  
491 to test potential cytotoxicity of nano-enforcers used in active packaging. Cytotoxicity of NPs

492 has most commonly been evaluated by measuring cell viability after cell exposure to  
493 nanoparticles in a buffer or in a cell culture medium. Metal oxide NPs have been shown to  
494 reduce cell viability, induce membrane lipid peroxidation and damage DNA in various  
495 mammalian cell lines (Sahu & Hayes, 2017; Vidic, et al., 2013). The cytotoxic pattern varies  
496 for different metal oxides and cell types and is dose- and time-dependent. In general, smaller  
497 nanoparticles are more active and can be internalized by cells faster than larger ones.  
498 Cytotoxicity is also dependent on the medium used to suspend them. Thus, cytotoxicity  
499 drastically decreases in a cell medium supplemented with serum compared to buffer or serum-  
500 free medium (Vidic, et al., 2014). Small NPs may aggregate into entities of different sizes and  
501 shapes, depending on the medium, resulting in a modified surface and reactivity (Stankic, et al.,  
502 2016). Biocompatibility of NPs is largely determined by their surface. Ingested nanoparticles  
503 could both stimulate and/or suppress immune responses depending on their surface chemistry  
504 (Dobrovolskaia, Germolec, & Weaver, 2009).

505         The cytotoxicity of ZnO NPs on human immune cells was correlated with the  
506 intracellular solubility of nanoparticles into  $Zn^{2+}$ -ions. Different anions significantly affect  
507 nanoparticle suspension stability, and release of metal ions from NPs. The pro-oxidative and  
508 pro-inflammatory effects of  $TiO_2$  and ZnO NPs were lowered using a medium containing some  
509 anions such as chloride and phosphate (Ng, et al., 2013). When exposed to Mg doped ZnO (Mg-  
510 *n*ZnO) NPs murine macrophages mainly rested unchanged but some cells indicated signs of  
511 necrosis as observed using electron microscopy (Fig. 5A). Healthy macrophages displayed  
512 pseudopodia to cell debris suggesting phagocytosis of damaged cells. Cytotoxicity was shown  
513 to be concentration-dependent, because macrophages were able to neutralize the toxic effect of  
514 Mg-*n*ZnO NPs at concentrations lower than 1 mg/ml while higher concentrations disturbed  
515 membranes in macrophages and induced cell death (Auger, et al., 2019).

516 The importance of considering the interrelationship between NPs, mucus and the gut  
517 microbiota was recently underlined by EFSA's report on the assessment of risks associated with  
518 human exposure to nanoparticles used in the food industry (Hardy, et al., 2018). Exposure to  
519 large numbers of ingested NPs, persistent enough to survive gastrointestinal processing, has  
520 become regular for many populations. The surface area of the gastrointestinal tract (GIT)  
521 provides a large zone for interaction with ingested NPs. NPs can move through the intestinal  
522 barrier in a multistep route involving diffusion through the mucus layer, contact with  
523 enterocytes or Microfold cells, and via paracellular transport or cellular entry (da Silva, et al.,  
524 2020). It is likely that NPs accumulate in specialized intestinal cells at the base of large  
525 lymphoid follicles (Peyer's patches) and that a degree of absorption goes beyond this, from  
526 lymphatics to blood circulation to tissues. Gene-sequencing analysis of the 16S rRNA of the  
527 gut bacteria showed that NPs can readily influenced the composition and richness of the  
528 bacterial community. In a healthy human gut, most commensal bacteria belong to phyla  
529 Firmicutes and Bacteroides playing critical roles in digestion, immunological functions of the  
530 GIT including immune system maturation, maintaining intestinal permeability, and protection  
531 against pathogens. Alteration of the intestinal microbiota (called dysbiosis) (Fig. 5B), in its  
532 ecology (microbial population) and/or metabolic functions (production of bacterial metabolites)  
533 is known to promote a number of chronic digestive and metabolic disorders. Several studies  
534 suggest that NPs, including Ag, TiO<sub>2</sub>, and ZnO impact the microbiota, characterized by an  
535 alteration of the Firmicutes/Bacteroidetes ratio, depletion of *Lactobacillus* strains and an  
536 increase in the abundance of Proteobacteria (Lamas, Breyner, & Houdeau, 2020). Indeed, NPs  
537 detrimental effects may resemble the microbiome shifts in inflammatory bowel disease,  
538 colorectal cancer or obesity where gut dysbiosis play a key pathogenic role. Moreover, recent  
539 evidence indicates that disturbance of the microbiota-gut-brain axis induced by ZnO NPs may  
540 result in neurobehavioral impairment by affecting gut microbiota (Chen, et al., 2020).

541 Published studies on cytotoxicity of metal oxide NPs are limited. Moreover, these  
542 studies have used different cell models, various media, cells, applied different methods for  
543 nanomaterial characterization, and different experimental conditions for cytotoxicity testing.  
544 Therefore, data from these studies is difficult to interpret and the mechanism of toxicity of metal  
545 oxide NPs is currently unknown. Extensive development of active packaging indicates that the  
546 test methods need to be standardized and validated, positive and negative controls need to be  
547 identified and cytotoxicity data need to be harmonized. Indeed, insufficient information is  
548 available concerning the safety risk of NPs present in consumer products.

#### 549 **10. Intelligent packaging – application of metal oxide NPs in food safety sensors**

550 The food industry regularly performs microbiological and chemical tests of the products  
551 during production and before distribution. However, in most cases, there is no such control  
552 when food items arrive to the market. Intelligent packaging does not interact with food, but  
553 monitors the condition of the packaged product and informs on food quality degradation using  
554 indicators (labels) and sensors, and enables traceability with unique codes and tags such as bar  
555 codes, RFID tags, smart tags or NFC codes (Müller & Schmid, 2019; Rai, et al., 2019).  
556 Environmental conditions monitored inside or outside the packaging include time temperature,  
557 freshness and gas leakage indicators and relative humidity sensors. Freshness indicators,  
558 usually colour changing labels on the container/package, show the change in pH or  
559 characteristic gases released during food spoilage monitored by sensors inside the packaging  
560 (Fuertes, et al., 2016). Recent research has also focused on multifunctional pH dependent colour  
561 changing intelligent packaging composed of a biodegradable polymer (chitosan, starch etc.),  
562 metal oxide (ZnO, TiO<sub>2</sub>) and pH sensitive component (phenolic compounds such as  
563 anthocyanin extracted from apple pomace, black plum peel or butterfly pea flowers (Lan, et al.,  
564 2021; Mary, et al., 2020; Zhang, et al., 2019). UV activated oxygen indicators commonly use  
565 TiO<sub>2</sub> nanoparticles (Wen, et al., 2019). Progress in affordable printed and flexible electronics



566 and the development of advanced bionanocomposite materials has resulted in many advances  
567 in intelligent packaging. Wireless passive RFID tags can monitor different food spoilage  
568 indicators (Raju, Bridges, & Bhadra, 2020), Metal oxides have been extensively investigated  
569 and applied as sensing materials for a wide range of different gases including CO<sub>2</sub>, NH<sub>3</sub>, H<sub>2</sub>S,  
570 H<sub>2</sub>O and also dimethylamine and trimethylamine released during food spoilage. Recent  
571 research includes development of a Ni-SnO<sub>2</sub> sensor using a simple sol-gel spin coating method  
572 for the detection of ethylene in apple fruit quality monitoring (Beniwal, 2019). Manganese  
573 oxide nanoarchitectures with Au/Ag NPs also showed ethylene sensing potential (Bigiani, et  
574 al., 2020). Niobium doping of TiO<sub>2</sub> nanotubes resulted in good selectivity and ability to detect  
575 low concentrations (5-50 ppm) of dimethylamine (Galstyan, et al., 2020). Gelatin based  
576 nanocomposite films incorporating ZnO NPs showed good potential as a relative humidity  
577 sensing layer at room temperature in food packaging (Pereira, Picciani, Calado, & Tonon,  
578 2020). **Table 4 shows some recent examples of intelligent food packaging utilizing metal oxide**  
579 **NPs.**

## 580 **11. Conclusions**

581 Effective utilization of metal oxide nanoparticles in smart packaging using biopolymers  
582 has been demonstrated through a review of recent research. Besides improving film properties,  
583 such as tensile strength and water barrier, packaging with metal oxides has shown improved  
584 antimicrobial (antibacterial, antifungal and antiviral), barrier, UV blocking, oxygen and  
585 ethylene scavenging and moisture absorption potential. An added benefit of using metal oxides  
586 in smart packaging is incorporation in food safety sensors as part of the intelligent packaging  
587 component for providing information on the product to consumers and promotion of consumer  
588 confidence in consumer safety, while to the distributors it could bring increased sales and waste  
589 reduction.

590 The food industry is constantly developing new packaging films, and smart packaging  
591 based on nanoparticles has been gaining in popularity over the last years due to multiple benefits  
592 as illustrated in Fig. 6. The possibility to efficiently disperse and incorporate metal oxide NPs  
593 within a packaging substrate provides active packaging film with increased efficacy. Currently,  
594 the most commercially important categories of active packaging are oxygen scavengers and  
595 moisture absorbers, followed by ethylene scavengers, CO<sub>2</sub> emitters and scavengers, and  
596 temperature control packaging. All of them are expected to be used more in the future because  
597 they enable shelf life extension, prevention of recalls costs, and brand reputation damage.

598 The most prevalent nano-sized antimicrobial metal oxides in active packaging are ZnO  
599 and TiO<sub>2</sub> NPs. One of the main concerns regarding use of metal oxide NPs in smart packaging  
600 is their safety, so migration from the packaging and cytotoxicity present key issues for their  
601 future utilization in smart packaging. A recent safety assessment of titanium dioxide as a food  
602 additive has deemed it unsafe emphasizing the significance of this aspect when evaluating the  
603 application of any metal oxide in the food industry thus opening the door to further research of  
604 the suitability other metal oxide NPs for this purpose. In addition, the green synthesis route  
605 represents a potential solution to improve metal oxide NPs' safety and biocompatibility.  
606 Finally, the migration tests of NPs from packaging to food or simulants have to be involved in  
607 safety assessment. By adapting parameters such as type and composition of film or coating  
608 material, pH, and film/coating thickness, the migration of NPs can be controlled to minimize  
609 the risk of nanoparticle toxicity.

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616 **Table 1**

617 Some examples of synthesis and antibacterial application of other metal oxides

Nanoparticle	Size (nm)	Synthesis method	Pathogen	Reference
Cu <sub>2</sub> O	400	One-step reduction	<i>S. aureus</i> , <i>E. coli</i>	(Yan, et al., 2021)
Cu <sub>2</sub> O	150	Sol-gel	<i>E. coli</i>	(Ma, Guo, Guo, & Ge, 2015)
Cu <sub>2</sub> O	36-450	In-situ mediated solution	<i>E. coli</i>	(Deng, et al., 2014)
Fe <sub>2</sub> O <sub>3</sub>	45, 70	Green hydrothermal	<i>E. coli</i> , <i>S. aureus</i> , <i>Vibrio fisheri</i>	(Vihodceva, et al., 2021)
Fe <sub>3</sub> O <sub>4</sub>	5-20	Modified co-precipitation	<i>E. coli</i>	(Gabrielyan, Hakobyan, Hovhannisyan, & Trchounian, 2019)
Fe <sub>3</sub> O <sub>4</sub>	6-9	Low temperature solution route	<i>E. coli</i> , <i>P. aeruginosa</i> , <i>L. monocytogenes</i>	(Al-Shabib, et al., 2018)
MgO	50	Green synthesis	<i>E. coli</i>	(Khan, et al., 2020)
MgO	50	Combustion	<i>E. coli</i> , <i>B. subtilis</i>	(Vidic, et al., 2013)
Zn-MgO	5-100	Chemical vapour	<i>B. subtilis</i> , <i>S. aureus</i> , <i>Salmonella enterica</i> , <i>E. coli</i> , <i>Saccharomyces cerevisiae</i>	(Zanet, et al., 2019)
FeMnO <sub>3</sub>	200-1000	Sol gel	<i>B. subtilis</i>	(Vasiljevic, et al., 2020)

618

619 **Table 2.**

620 Some recent examples of antibacterial packaging films containing metal oxide NPs

Nanoparticle	Size	Food	Film	Pathogen	method	reference
SiO <sub>2</sub>	15 nm	Soybean oil	Chitosin	<i>E. coli</i> , <i>S. typhimurium</i> , <i>S. aureus</i> , <i>L. monocytogenes</i>	Disk	(Bi, et al., 2020)
ZnO	10-30 nm	White brined chees	Chitosan	<i>E. coli</i> O157:H7	plating	(Al-Nabulsi, et al., 2020)
ZnO	50	RTE poultry meat	Alginate	<i>S. typhimurium</i> , <i>S. aureus</i>	plate count	(Akbar & Anal, 2014)
ZnO	23–62	Chicken fillet; cheese	Chitosan	<i>E. coli</i> , <i>S. aureus</i> , <i>P. aeruginosa</i>	disk	(Amjadi, et al., 2019)
ZnO	<25 nm	Bread	Chitosan, cellulose	yeasts/fungi/ molds	culturing	(Noshirvani, Ghanbarzadeh, Mokarram, & Hashemi, 2017)
ZnO		Chicken meat	Cellulose, polypyrrole	<i>E. coli</i>	culturing	(Pirsa & Shamus, 2019)
ZnO		Chicken meat	Cellulose	<i>Campylobacter</i>	Culturing, sequencing	(Hakeem, et al., 2020)
Ag/ZnO		Chicken meat	LDPE <sup>1</sup>	<i>E. coli</i> , <i>P. aeruginosa</i> , <i>L. monocytogenes</i>	plate count	(Panea, Ripoll, González, Fernández-Cuello, & Albertí, 2014)

Zn-MgO	5-10 nm	Smoke salmon meat	Alginate	<i>L. monocytogenes</i>	qPCR, plate count	(Vizzini, et al., 2020)
ZnO/TiO <sub>2</sub>		Shrimp	PVA <sup>5</sup> /gelatin	<i>S. aureus</i> , <i>E. coli</i> O157H7, <i>L. monocytogenes</i>	count	(Azizi-Lalabadi, Ehsani, Ghanbarzadeh, & Divband, 2020)
ZnO	10-30 nm	Chicken meat	Gelatin	<i>S. aureus</i> , <i>Pseudomonas fluorescens</i>	Disk	(Ahmadi, Ahmadi, & Ehsani, 2020)
ZnO	130-200 nm	Food stimuli	SCP <sup>4</sup>	<i>E. coli</i>	Zone inhibition	(Tankhiwale & Bajpai, 2012)
ZnO	35-45 nm	Food stimuli	Chitosan+PE	<i>E. coli</i> , <i>S. enterica</i> , <i>S. aureus</i>	culturing	(Al-Naamani, et al., 2016)
ZnO	50 nm	Food stimuli	LDPE <sup>1</sup>	<i>B. subtilis</i> , <i>E. aerogenes</i>	Plate count	(Esmailzadeh, et al., 2016)
ZnO	8 nm	Soft white cheese	Chitosan + CMP <sup>4</sup>	<i>S. aureus</i> , <i>E. coli</i> , <i>P. aeruginosa</i>	Plate count	(Youssef, et al., 2016)
ZnO	30 nm	Food stimuli	Chitosan	<i>S. aureus</i> , <i>E. coli</i>	Disk	(J. Sun, et al., 2020a)
ZnO	30 nm	Food stimuli	Ethyl cellulose	<i>S. aureus</i> , <i>E. coli</i>	culturing	(Liu, et al., 2018)
ZnO	30 nm	Food stimuli	Zein	<i>S. aureus</i> , <i>E. coli</i>	Disk	(Amjadi, et al., 2020b)
ZnO	<20 nm	Spinach	Olive flounder bone gelatin	<i>L. monocytogenes</i>	Disk	(Beak, Kim, & Song, 2017)
TiO <sub>2</sub>		fresh pear	LDPE <sup>1</sup>	<i>P. aeruginosa</i> , <i>R. mucilaginosa</i>	plate count	(Bodaghi, et al., 2013)
TiO <sub>2</sub>	<100 nm	food stimuli	PLA <sup>3</sup>	<i>E. coli</i> , <i>L. monocytogenes</i>		(W. Li, et al., 2017)
TiO <sub>2</sub>	25 nm	Lettuce	LDPE <sup>1</sup>	<i>E. coli</i>	Plate count	(Othman, et al., 2014)
TiO <sub>2</sub>		Lamb meat	Whey protein isolate /cellulose nanofibre / rosemary essential oil	<i>L. monocytogenes</i> , <i>E. coli</i> O157:H7, <i>S. aureus</i>	Micro dilution method	(Sani, Ehsani, & Hashemi, 2017)
CuO	191 nm	Food stimuli	PHBV <sup>5</sup>	<i>S. enteria</i> , <i>L. monocytogenes</i>	Plate count	(Castro Mayorga, Fabra Rovira, Cabedo Mas, Sánchez Moragas, & Lagarón Cabello, 2018)
CuO	<50 nm	Pepper	Microcrystalline cellulose, sodium alginate	<i>Salmonella spp.</i> , <i>Listeria spp.</i>	Plating	(Saravanakumar, Sathiyaseelan, Mariadoss, Xiaowen, & Wang, 2020)
ZnO-SiO <sub>2</sub>	25-100 nm	Bread	PVA/Chitosan	<i>S. aureus</i> , <i>E. coli</i>	Plate count	(Al-Tayyar, et al., 2020)
Cu <sub>2</sub> O	400 nm	Cherry tomato	PVA-chitosan	<i>S. aureus</i> , <i>E. coli</i>	Plate count	(Yan, et al., 2021)

621 <sup>1</sup>LPDE, Low-Density Polyethylene; LLDPE, linear low density polyethylene; <sup>2</sup>SEM, scanning electron  
 622 microscopy; <sup>3</sup>PLA, poly(lactic acid); <sup>4</sup>Carboxymethyl cellulose; <sup>5</sup>PVA, polyvinyl alcohol.

623

624 **Table 3.**

625 Some examples of packaging films containing metal oxide NPs with quantitatively improved  
 626 mechanical and barrier properties.

627

Nanoparticles	Biopolymer	Barrier properties	Mechanical properties	References
ZnO-SiO <sub>2</sub>	Chitosan-PVA	With increased content of metal oxide NPs, WVTR <sup>1</sup> decreased from 980.86 to 500.60 g/(m <sup>2</sup> day)	With increased content of metal oxide NPs, TS <sup>2</sup> increased from 7.45 MPa up to 37.5 MPa	(Al-Tayyar, et al., 2020)
ZnO	Soy protein	OP <sup>3</sup> values were decreased by 33.8 %, with addition of NPs	TS <sup>2</sup> and EAB <sup>4</sup> were raised up to 2.11 MPa and 164.0%, with addition of NPs, respectively	(Wu, et al., 2019)
CuO	Montmorillonite	WVP <sup>5</sup> was significantly reduced after incorporation of nanocomposite	TS <sup>2</sup> was improved 59% after incorporation of NPs	(Nouri, et al., 2018)
TiO <sub>2</sub>	Chitosan	WVTR <sup>1</sup> was decreased from 26 to 19 g m <sup>-2</sup> d <sup>-1</sup> with addition of NPs	An increase of TS <sup>2</sup> from 10 to 16 MPa and decrease of EAB <sup>4</sup> from 57 to 53 %, after addition of NPs to biopolymer	(Kaewklin, et al., 2018)
ZnO	Chitosan/ Carboxymethyl cellulose	Final contact angle values increased after addition of NPs	At higher level of NPs, TS <sup>2</sup> was increased from 6.8 to 12.6 MPa	(Youssef, et al., 2016)
GO-Bi <sub>2</sub> WO <sub>6</sub>	Starch	WPR <sup>6</sup> was improved ( $4.98 \times 10^{-7}$ g/(m <sup>2</sup> ·h·Pa) after addition of Bi <sub>2</sub> WO <sub>6</sub> )	TS <sup>2</sup> gradually increased with higher content of NPs from 11.06 to 23.19 MPa	(J. Xie, et al., 2020)
Bi <sub>2</sub> WO <sub>6</sub> -TiO <sub>2</sub>	Starch		With increased NPs, TS <sup>2</sup> increased while EAB <sup>4</sup> decreased	(Wang, et al., 2019)
ZnO	Glucomanan/ Chitosan	WVP <sup>5</sup> reduced from 2.61 (g mm/m <sup>2</sup> ·day.kPa) to 1.82 (g mm/m <sup>2</sup> ·day.kPa)	Optimum concentration of NPs improved TS <sup>2</sup> and EAB <sup>4</sup> (52 MPa and 12.81 ± 0.42%, respectively)	(J. Sun, et al., 2020b)
ZnO	Alginate		At lower level of ZnO NPs, TS <sup>2</sup> increased from 2.35 to 4.75 MPa, while EAB <sup>4</sup> decreased from 602 to 131 %	(Akbar & Anal, 2014)
ZnO	Ethyl cellulose/Gelatine	WCA <sup>7</sup> was increased with higher levels of ZnO NPs	Optimum concentration of NPs improved values of TS <sup>2</sup> and EAB <sup>4</sup>	(Liu, et al., 2018)
ZnO	Starch	WCA <sup>7</sup> exhibited higher value with the addition of ZnO NPs	Optimum concentration of NPs improved values of TS <sup>2</sup> from 5.65 MPa to 10.29 MPa, and decreased	(Abdullah, et al., 2020)

			EAB <sup>4</sup> from 43.71% to 16.84%	
ZnO	Gelatin/starch	The WVP <sup>5</sup> values decreased and melting temperature increased after addition of NPs	At higher level of NPs, TS <sup>2</sup> was increased from 23 to 50 MPa, while EAB <sup>4</sup> decreased	(Lee, Said, & Sarbon, 2020)
ZnO	Gelatin/chitosan	Addition of NPs increased WVP <sup>5</sup> values	The incorporation of NPs increased TS <sup>2</sup> from 0.20 to 0.22 MPa and decreased the EAB <sup>4</sup>	(Ahmad & Sarbon, 2021)

628 <sup>1</sup>WVTR, water vapor transmission rate; <sup>2</sup>TS, tensile strength; <sup>3</sup>OP, oxygen permeability; <sup>4</sup>EAB, elongation  
629 at break; <sup>5</sup>WVP, water vapor permeability; <sup>6</sup>WVR, water vapour resistance; <sup>7</sup>WCA, water contact angle.

630 **Table 4.**

631 Some examples of intelligent packaging films utilizing metal oxide NPs.

Nanoparticle	Intelligent packaging function	Reference
TiO <sub>2</sub>	UV activated visible colorimetric oxygen indicator using Ag-loaded TiO <sub>2</sub> nanotubes/methylene blue and hydroxyethylcellulose and glycerol	(Wen, et al., 2019)
Graphene oxide-TiO <sub>2</sub>	Self-adhesive UV activated colorimetric oxygen detection using graphene oxide TiO <sub>2</sub> and methylene blue	(Son, et al., 2015)
TiO <sub>2</sub>	UV activated water based colorimetric oxygen indicator comprising a redox dye (methylene blue), colloidal semiconductor (TiO <sub>2</sub> ) and a sacrificial electron donor (tartaric acid) ink-jet printed on polyester film	(Lawrie, Mills, & Hazafy, 2013)
IrO <sub>x</sub>	Wireless pH sensor for monitoring pH level changes in fish meat using an IrO <sub>x</sub> sensing electrode, sensitivity -49.7 mV/pH	(W.-D. Huang, et al., 2011)
ZnO	Starch-PVA composite films with incorporated ZnO nanoparticles, capable of color change in response to pH variation (acidic, neutral, alkaline)	(Jayakumar, et al., 2019)
TiO <sub>2</sub>	Starch films incorporating anthocyanins from butterfly pea flowers and TiO <sub>2</sub> nanoparticles, showed noticeable color change in the pH range 1-12, tested on prawn storage	(Mary, et al., 2020)
TiO <sub>2</sub>	Chitosan films incorporating apple polyphenols and TiO <sub>2</sub> nanoparticles, showed noticeable pH responsive color changing properties in the pH range 3-13, tested on monitoring salmon meat	(Lan, et al., 2021)
TiO <sub>2</sub>	Chitosan films incorporating anthocyanin from black plum peel extract and TiO <sub>2</sub> nanoparticles, pH sensitive in the pH range 2-13	(Zhang, et al., 2019)
ZnO	Bacterial-cellulose-polypyrrole-ZnO nanoparticle films used for monitoring chicken thigh meat, change of electrical resistance can be linked with storage time and temperature, rate of microbial growth, sensory properties and pH	(Pirsa & Shamus, 2019)
ZnO	Gelatin films incorporating ZnO nanoparticles and glycerol used for monitoring relative humidity change at room temperature through change in electrical impedance	(Pereira, et al., 2020)
MnO <sub>2</sub>	Chemical vapor deposition of MnO <sub>2</sub> co-sputtered with Ag and Au, used for monitoring fruit ripening	(Bigiani, et al., 2020)

	through detection of change in ethylene concentration	
Ni-SnO <sub>2</sub>	Thin film Ni-SnO <sub>2</sub> sensor used for ethylene detection in apple fruit	(Beniwal, 2019)
Nb-TiO <sub>2</sub>	Radio-frequency deposited niobium doped titanium dioxide nanotubes were used for dimethylamine detection and monitoring seafood quality	(Galstyan, et al., 2020)

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635 **Figure legends:**

636 **Figure 1.**

637 Classification of smart packaging and its functions in the improvement of food quality.

638 **Figure 2.**

639 Schematic presentation of antibacterial mechanisms of ZnO NPs with different morphology:  
640 (a) terapod NPs, that mainly generate H<sub>2</sub>O<sub>2</sub> and release Zn<sup>2+</sup>-ions in aqueous solution, adapted  
641 with permission from (Xu, et al., 2013); (b) flower NPs, shown to generate various ROS upon  
642 visible light illumination, that injure bacterial cells by causing an oxidative stress, cell content  
643 leakage or by damaging nucleic acid and proteins, adapted with permission from (Quek, et al.,  
644 2018); (c) ZnO nanoparticle assembly were shown to be highly efficient antimicrobial agent  
645 towards Gram-positive and Gram-negative bacteria, under different conditions. Adapted with  
646 permission from (Joe, et al., 2017).

647 **Figure 3.**

648 Schematic representation of the preparation of smart food packaging using metal oxide NPs as  
649 coating or incorporated in a biodegradable polymer and its application in the inhibition of  
650 microorganisms, UV light protection, barrier, oxygen and ethylene scavenging and sensing.

651 **Figure 4.**

652 Schematic representation of biopolymer – metal oxide film synthesis using solvent casting (a)  
653 and electrospinning (b) methods. Adapted in part with permission from (Liu, et al., 2018;  
654 Razali, et al., 2019).

655 **Figure 5.**

656 (A) Representative thin section electron micrographs of macrophage cells incubated with  
657 0.1 mg/ml Mg-nZnO for 24 h m, mitochondria; er, endoplasmic reticulum; mv, microvilli;  
658 MVB, Multi Vesicular Body; red rectangle points autophagy. Adapted with permission from  
659 (Auger, et al., 2019). (B) Potential impact of NP ingestion on the crosstalk between the  
660 microbiota and the immune system. Adapted with permission from (Lamas, et al., 2020).

## 661 **Figure 6.**

662 List of improved packaging functions obtained utilizing metal oxide NPs.

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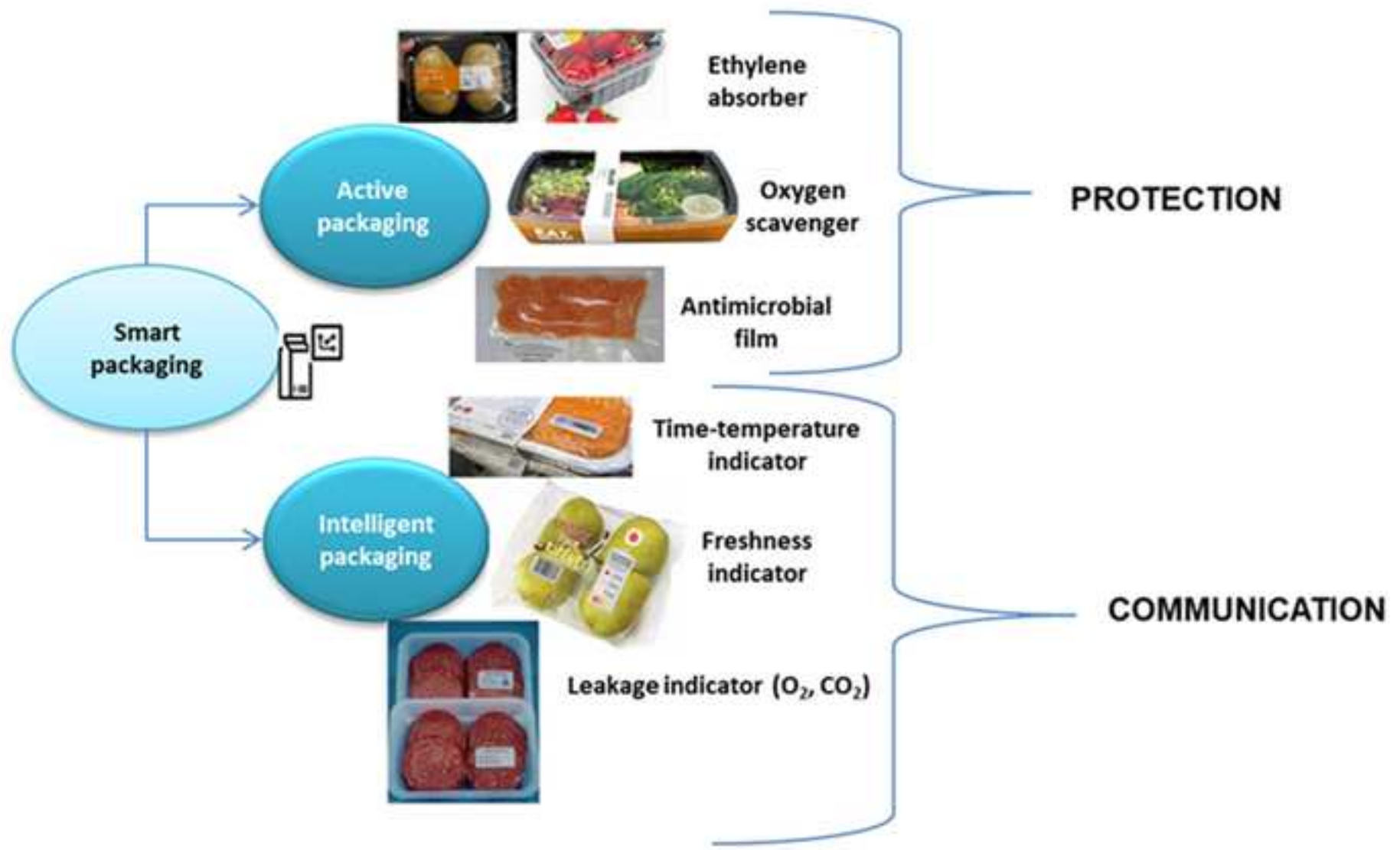
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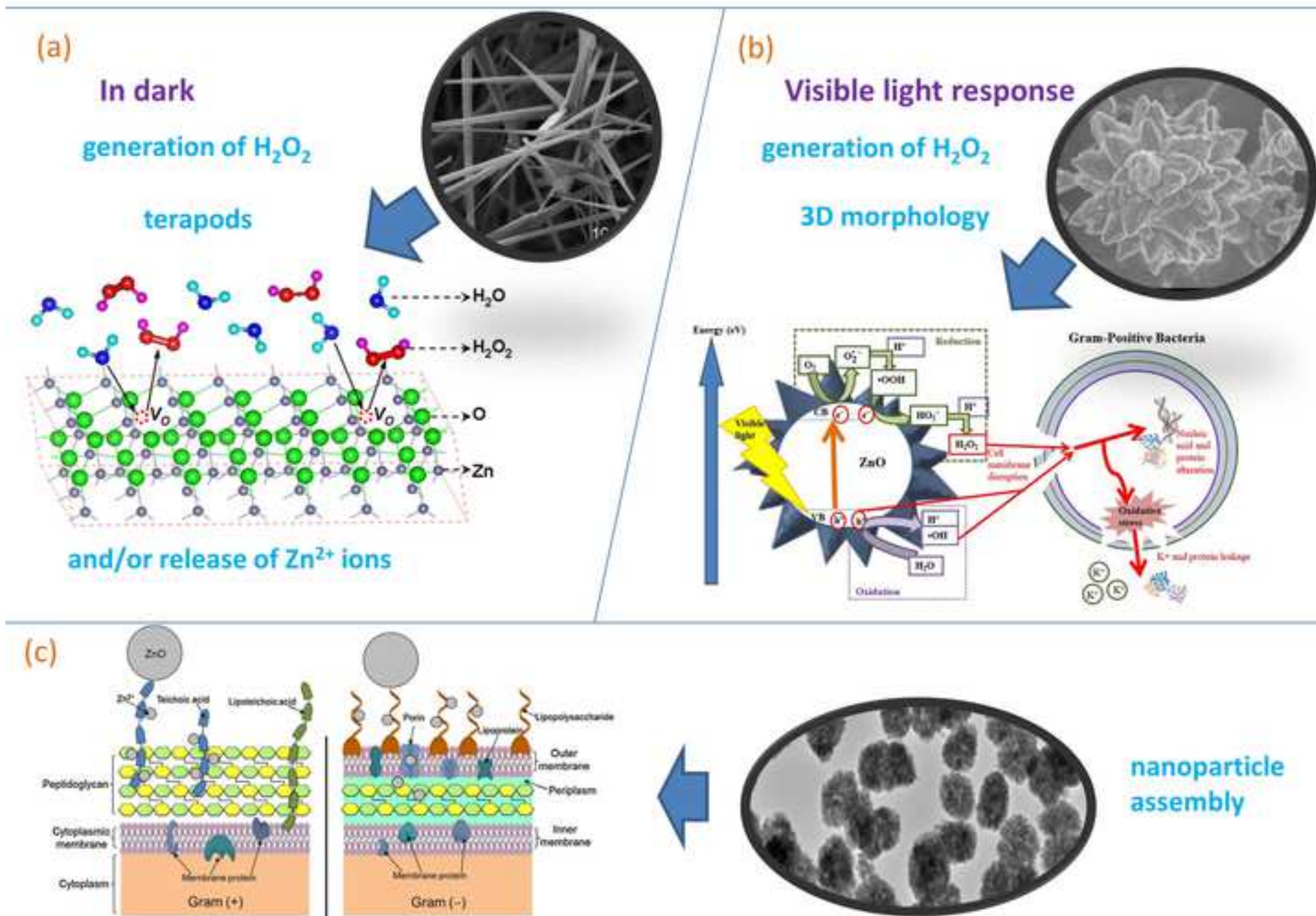


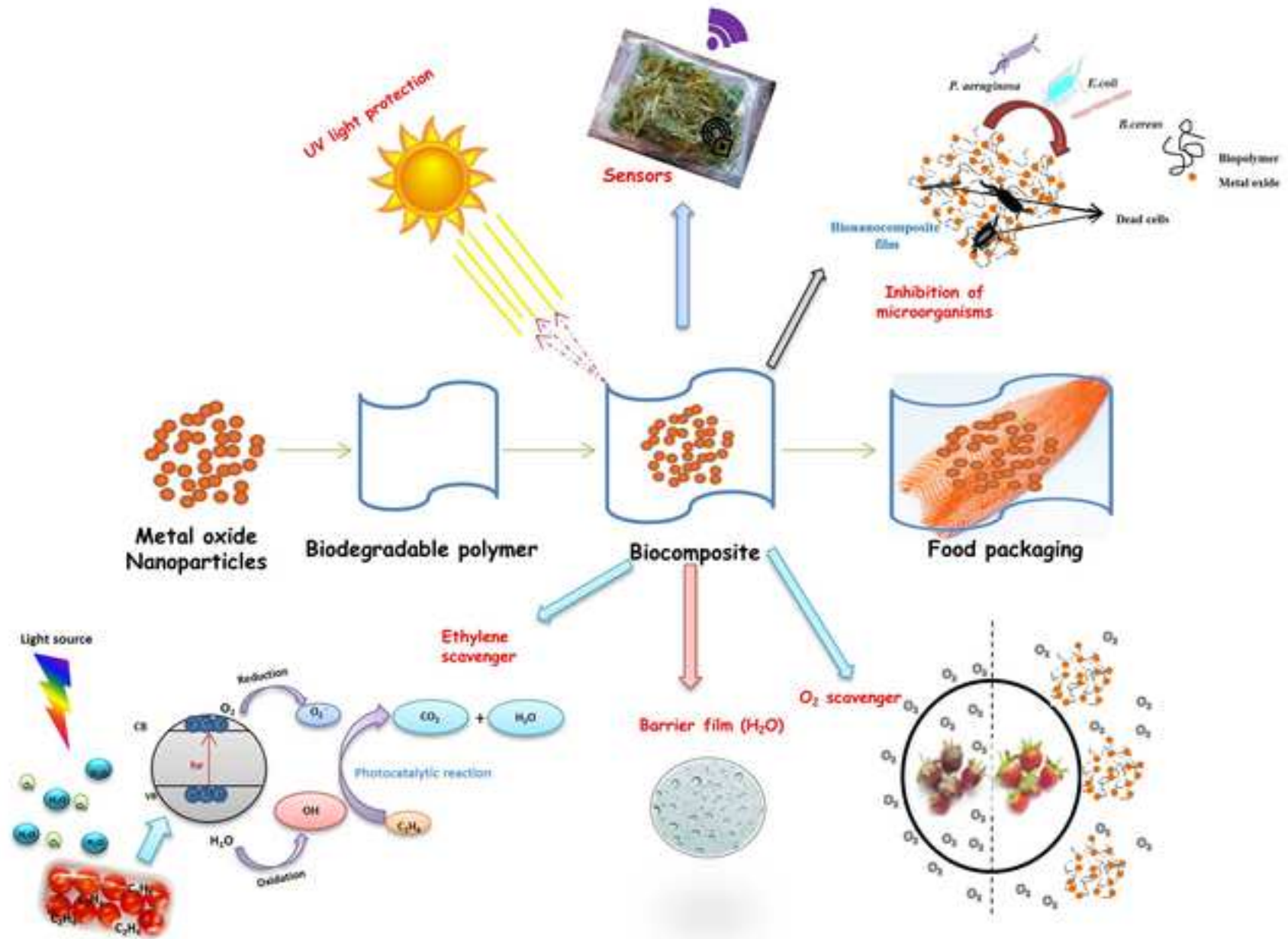
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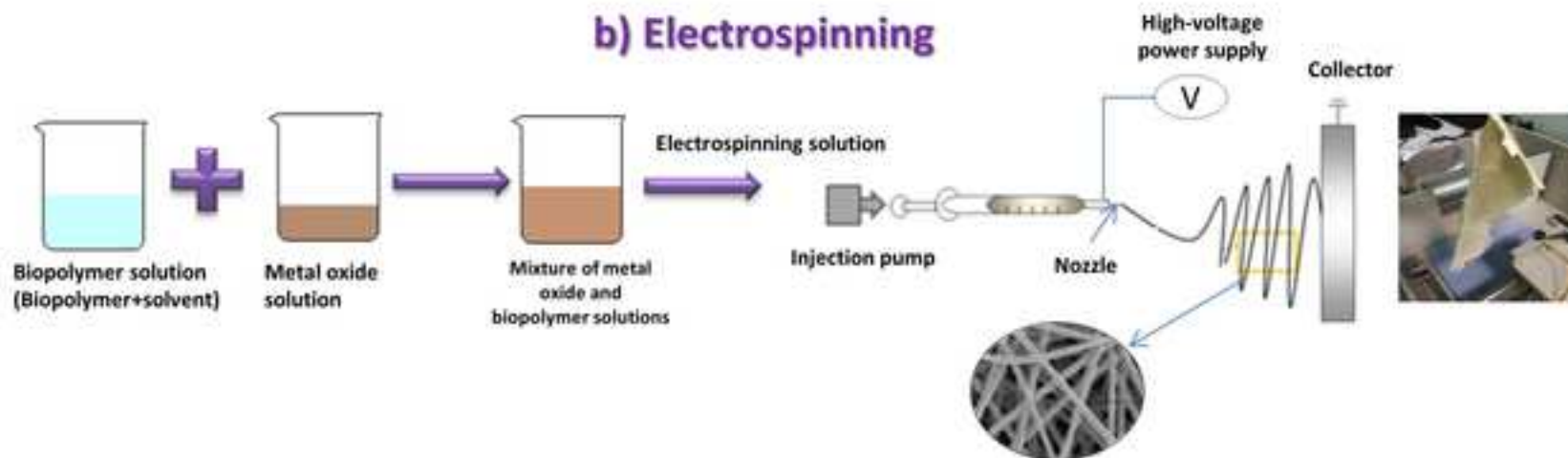
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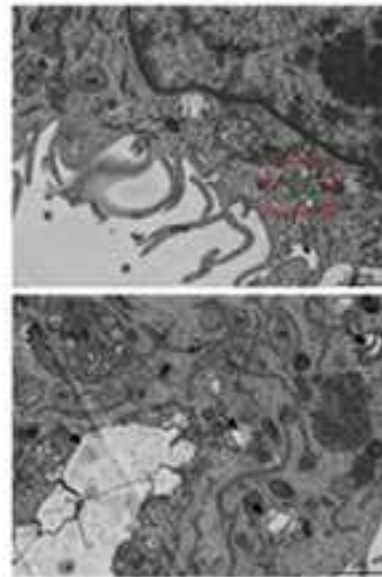
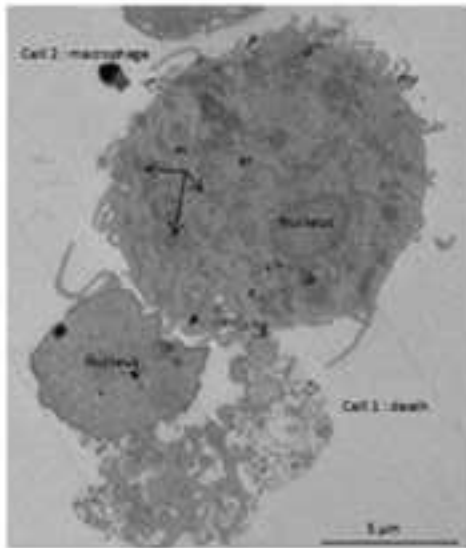
# Antimicrobial mechanisms of ZnO







A



B

